m



### Attorney Docket 116.066(formerly SYN-0017A)

#### **CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Irving M. Fishman
Type or Print Name

Signature Signature

April 19, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Group Art Unit: 1615

Lemmens et al

Examiner:

SERIAL NO: 10/678,082

FILED: October 6, 2003

FOR: PAROXETINE COMPOSITIONS AND

PROCESSES FOR MAKING THE SAME

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Submission of
Change of Power of Attorney
And
Correspondence Address
With
Statement Under 37 CFR 3.73(b)

Sir:

Enclosed herewith is a Power of Attorney and Correspondence Address to the undersigned, executed by Michael Satow of JDS Neuro, LLC, the current owner of the above-identified patent.

Please direct all future correspondence in accordance with the enclosed Power to
Irving M. Fishman
Cohen, Tauber, Spievack, and Wagner

In re Lemmens et al Ser. No. 10/678,082

Filed: October 6, 2003

Page 2

420 Lexington Avenue

**Suite 2400** 

New York, NY 10170

all telephone calls to Irving M. Fishman at 212-586-5800 and faxes to 212-586-5095.

Respectfully submitted Lun M findem

Irving M. Fishman, Reg. No. 30,258

Attorney for Assignee

Cohen Tauber Spievack and Wagner

420 Lexington Avenue

**Suite 2400** 

New York, NY 10170

Tel.: 212-586-5800

Fax: 212-586-5095

e-mail: ifishman@ctswlaw.com

Date: April 19, 2006

Doc Code:

PTO/SB/81 (01-06)
Approved for use through 12/31/2008. OMB 0651-0035
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of the papersons are required to respond to a collection of information unless it displays a valid OMB control number.

# POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM

Application Number	10/678,082
Filing Date	Oct 6, 2003
First Named Inventor	Lemmens et al
Title	Paroxetine Compositions and Processes f
Art Unit	1615
Examiner Name	
Attorney Docket Number	116.066 (formerly SYN-0017A)

I hereby revoke all previous powers of attorney given in the above-identified application.							
I hereby appoint:							
Prac	Practitioners associated with the Customer Number:						
OR			Ĺ				
Prac	ctitioner(s) na	nmed below:					*
	Name Registration Number				r		
	Ir	ving M. Fishman	30,258				
						الما	husiness in the
as my/our att	orney(s) or a	gent(s) to prosecute the applicatio Trademark Office connected there	n identified with.	above, a	and to transact	t all i	business in the
Please reco	ognize or cha	nge the correspondence address	for the abo	ve-identil	fied application	n to:	
	-	iated with the above-mentioned Cu			••		
OR		[					
The ac	dress assoc	iated with Customer Number:					
OR							
Firm or Individua	al Name	Irving M. Fishman					
Address	Address Cohen Tauber Spievack & Wagner 420 Lexington Avenue, Suite 2400						
City		New York	State	NY		Zip	10170
Country		US					
Telephone		212-586-5800	Email	ifishma	an@ctswlaw.co	m	
I am the:							-
Applicant/Inventor.							
Assignee of record of the entire interest. See 37 CFR 3.71.  Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).							
SIGNATURE of Applicant or Assignee of Record							
Signature Date 4/13/06			4/13/06				
Name	Name Telephone 212-682-4420				/ '212-682-4420		
Title and Company CEO JDS Neuro, LLC							
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple							
☐ *Total of	***	forms are submitted.					

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/96 (12-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Doc Code: U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Papenvoor Reduction Acron 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

Applicant/Patent Owner, JDS Nove, LLC	CFR 3.73(b)		
CATE	ad/lagua Data: O-4 ( 2002		
Application No./Patent No./Control No.: 10/678,082 Fil	ed/Issue Date: Oct 6, 2003		
Entitled: Paroxetine Compositions and Process for Making the Same			
JDS Neuro, LLC , a corporation			
(Name of Assignee) (Type of Assignee, e.g., corp	poration, partnership, university, government agency, etc.)		
states that it is:			
1.  the assignee of the entire right, title, and interest; or			
2. an assignee of less than the entire right, title and interest The extent (by percentage) of its ownership interest is	6		
in the patent application/patent identified above by virtue of either:			
is attached.	bove. The assignment was recorded in the United, or a true copy of the original		
OR			
B. A chain of title from the inventor(s), of the patent application/patent identified a	bove, to the current assignee as follows:		
1. From: the Inventors To:	Synthon B.V.		
The document was recorded in the United States Patent and Trademark Office a Reel 014496 , Frame 0577 , or for which a copy thereo			
2. 11000.	hon BCT Technologies LLC		
The document was recorded in the United States Patent and Trademark Office a Reel014587 , Frame0837 , or for which a copy thereo			
3. From: Synthon BCT Technologies LLC To:	JDS Neuro, LLC		
The document was recorded in the United States Patent and Trademark Office a  Reel , Frame , or for which a copy thereo			
Additional documents in the chain of title are listed on a supplemental sheet.			
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title for concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.  [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) mu	ust be submitted to Assignment Division in		
accordance with 37 CFR Part 3, to record the assignment in the records of the second s			
The undersigned (whose title is supplied felow) is authorized to act on behalf of the assig	gnee. 4/13/66		
Signature	Date		
Michael Satow	212-682-4420		
Printed or Typed Name	Telephone number		
CEO			
Tido			

This collection of information is required by 37 CFR 3,73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### PATENT ASSIGNMENT AGREEMENT

THIS AGREEMENT is made the 1st day of November, 2005 by and between Synthon Pharmaceuticals, Inc., a North Carolina corporation, Synthon BCT Technologies, LLC, a North Carolina limited liability company, Synthon BV, a corporation of The Netherlands (collectively "Assignors"), and JDS Neuro, LLC, a Delaware limited liability company ("Assignee").

WHEREAS, Synthon Pharmaceuticals, Inc. and JDS Pharmaceuticals, LLC, a Delaware limited liability company and sole member of Assignee, are parties to an Asset Purchase Agreement (the "Purchase Agreement") dated October 17, 2005, pursuant to which Synthon Pharmaceuticals, Inc. agreed to assign and cause its Affiliates to Assignee their right, title and interest in and to the patents and patent applications described in Schedule A attached hereto;

WHEREAS, Assignors and Assignee wish to document by formal assignment to Assignee of Assignors' right, title and interest in and to the patents and patent applications.

Assignors and Assignee therefore agree as follows.

- 1. "Assigned Patents" shall mean each claim of each patent of Assignors or any Affiliate (as defined in the Purchase Agreement) listed on Schedule A and each claim of each patent issuing from or on each patent application listed on Schedule A.
- 2. For good and valuable consideration, receipt of which is hereby acknowledged, Assignors hereby assign to Assignee all of the right, title and interest in (i) the inventions disclosed in the Assigned Patents, (ii) the Assigned Patents, (iii) any U.S. patent which may issue from the application listed on Schedule A attached hereto, and (iv) all divisions, continuations, reissues, re-examinations and extensions of the Assigned Patents. Assignors further acknowledge that included in this assignment is the right to bring suit to enforce any of the Assigned Patents against activities which occurred before the date of this Agreement. Assignors hereby authorize and request the Director of the U.S. Patent and Trademark Office to issue any and all such U.S. patents which may issue from the applications listed on Schedule A of the Purchase Agreement to Assignee, which shall hold the entire right, title and interest in and to such patent or patents to the full end of the term for which said patent or patents may be granted, as fully and entirely as the same would have been held by Assignors had this assignment not been made.
- 3. Assignors agree to execute upon the request of Assignee any assignment paper or other document reasonably necessary to evidence the assignment of the rights hereunder to Assignee, and agree to cooperate with Assignee in all other matters relating to the assignment of these rights to Assignee.
- 4. This Agreement shall be governed by, and construed in accordance with the laws of the State of Delaware without giving effect to its principles of conflicts of law.
- 5. This Agreement, together with the Asset Purchase Agreement, constitutes the sole understanding of the parties with respect to the transactions provided herein and supersedes and

merges herein any previous agreements and understandings, oral and written, between the parties hereto with respect to the subject matter hereof.

6. This Agreement may be executed in counterparts, each of which will be considered an original and all of which together will be considered one and the same instrument. Any counterpart may be signed and transmitted by facsimile with the same force and effect as if such counterpart was an ink-signed original.

[SIGNATURE PAGE FOLLOWS]

IN WITNESS WHEREOF, this Agreement was executed by Assignors and Assignee on November 1, 2005.

SYNTHON PHARMACEUTICALS, INC.	JDS NEURO, LLC. By:		
By:			
Name: Peter von Straelen Title: President	Name: Title:		
SYNTHON BCT TECHNOLOGIES, LLC			
Ву:			
Name: Title:			
SYNTHON BV			
Ву:			
Name: Title:			

IN WITNESS WHEREOF, this Agreement was executed by Assignors and Assignee on November 1, 2005.

SYNTHON PHARMACEUTICALS, INC. By:	DS NEURO, LLC.  By:  Name: Lichael Satow  Title: C.O.O.			
Name: Title:				
SYNTHON BCT TECHNOLOGIES, LLC				
Ву:				
Name: Title:				
$\cdot$	·			
SYNTHON BV				
By:				
Name: Title:				

IN WITNESS WHEREOF, this Agreement was executed by Assignors and Assignee on November 1, 2005.

SYNTHON PHARMACEUTICALS, INC.

JDS NEURO, LLC.

By:

By:

Name: Title: Name:

Title:

SXNTHON BCT TECHNOLOGIES, LLC

SYNTHON

Ву:

Title: Presided.

169716.

## Schedule A

## **Assigned Patents**

	- · · · · · · · · · · · · · · · · · · ·	Application No.	Priority Application
	Patent No.	Application 140.	
1	US 5,874,447	US 08/872,023	n/a
1A	US 6,900,327	US 09/855,710	US 08/872,023
1B		US 09/200,743	US 08/872,023
1C	CA 2293247	CA 2293247	PCT/NL97/00328
1D		CA 2455954	PCT/NL97/00328
2	US 6,645,523	US 09/939,561	US 60/228110 US 60/234936
2A		US 10/678,082	US 60/228110 US 60/234936
2B	CA 2418038	CA 2418038	US 60/228110 US 60/234936

Under Secretary of Commerce for Intellectual Property and DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 30, 2006

PTAS



IRVING M. FISHMAN SUITE 1422, NORTH TOWER 89 HEADQUARTERS PLAZA MORRISTOWN, NJ 07960

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 12/27/2005

REEL/FRAME: 017388/0301

NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 116.038

ASSIGNOR:

SYNTHON EV

DOC DATE: 11/01/2005

ASSIGNOR:

SYNTHON BCT TECHNOLOGIES, LLC

DOC DATE: 11/01/2005

**ASSIGNOR:** 

SYNTHON PHARMACEUTICALS

DOC DATE: 11/01/2005

ASSIGNEE:

JDS NEURO, LLC

122 EAST 42ND STREET, 41ST FLOOR

NEW YORK, NEW YORK 10168

SERIAL NUMBER: 10678082

FILING DATE: 10/06/2003

ISSUE DATE:

PATENT NUMBER: TITLE: PAROXETINE COMPOSITIONS AND PROCESSES FOR MAKING THE SAME 017388/0301 PAGE 2

SERIAL NUMBER: 09200743 FILING DATE: 11/30/1998

PATENT NUMBER: ISSUE DATE:

TITLE: 4-PHENYLPIPERIDINE COMPOUNDS

SERIAL NUMBER: 09939561 FILING DATE: 08/28/2001 PATENT NUMBER: 6645523 ISSUE DATE: 11/11/2003

TITLE: PAROXETINE COMPOSITIONS AND PROCESSES FOR MAKING THE SAME

SERIAL NUMBER: 09855710 FILING DATE: 05/16/2001

PATENT NUMBER: 6900327 ISSUE DATE: 05/31/2005

TITLE: 4-PHENYLPIPERIDINE COMPOUNDS

SERIAL NUMBER: 08872023 FILING DATE: 06/10/1997 PATENT NUMBER: 5874447 ISSUE DATE: 02/23/1999

TITLE: 4-PHENYLPIPERIDINE COMPOUNDS FOR TREATING DEPRESSION

DOROTHY RILEY, PARALEGAL ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION

10	-29-2005 U.S. DEPARTMENT OF COMMERCE		
FORM PTO-1595 (Modified)  (Page 02-03)	WE WITH WITH STORE AND ADDRESS		
(Rev. 03-01) OMB No. 0651-0027 (exp.5/31/2002) PROPRES M3	Patent and Trademark Office		
102130			
Tab settings → → ▼	/S   40U00		
	e: Please record the attached original documents or copy thereof.  2. Name and address of receiving party(ies):		
1. Name of conveying party(ies): Synthon BV Synthon BCT Technologies, LLC	· · · · · · · · · · · · · · · · · · ·		
Synthon BCT Technologies, LLC Synthon Pharmaceuticals  APR 2 4 7006	Name: JDS Neuro, LLC		
Symmon r narmaceuticais	Internal Address:		
MADEMARKO	A D		
Additional names(s) of conveying party(ies)	OF TURY		
3. Nature of conveyance:			
	Ford 42 and Street C		
	Street Address: 122 East 42nd Street 41st Floor		
☐ Security Agreement ☐ Change of Name	41st Floor		
☐ Other	City: New York State: NY ZIP: 10168		
Execution Date: November 1, 2005	Additional name(s) & address(es) attached?   Yes   No		
4. Application number(s) or patent numbers(s):			
If this document is being filed together with a new application,	the execution date of the application is:		
·			
A. Patent Application No.(s)	B. Patent No.(s)		
US 10/678,082 filed US 09/200,743 filed	US 6,645,523 US 6,900,327 US 5,874,447		
10/6/2003 11/30/1998			
Additional numbers attack	hed? ☐ Yes ☒ No		
5. Name and address of party to whom correspondence	6. Total number of applications and patents involved: 5		
concerning document should be mailed:	o. Total manifest of applications and patients in the same of the		
Name: Irving M. Fishman	7. Total fee (37 CFR 3.41):\$ 200.00		
Internal Address: Suite 1422, North Tower	Enclosed - Any excess or insufficiency should be credited or debited to deposit account		
	☐ Authorized to be charged to deposit account		
•	8. Deposit account number:		
AS Street Address: 89 Headquarters Plaza	· - ·		
01 FC:8021 200.00 QP			
City: Morristown State: NJ ZIP: 07960	(Attach duplicate copy of this page if paying by deposit account)		
City. Martine			
DO NOT USE THIS SPACE			
Statement and signature.  To the best of my knowledge and belief, the foregoing information in the foregoing information.	ation is true and correct and any attached copy is a true copy		
of the original document.	· Lislan 12/23/2005		
Irving M. Fishman	Deta		
At we of Demon Signing	Signature 7 Date		
Name of Person Signing  Total number of pages including cover sh	heet, attachments, and document.		

"et No.: 116.038